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*Admitted only in Maryland
*Admitted only in Virginia
*Practice Limited to
Federal Agencies

April 18, 2005

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

PGARRETT@SKGF.COM

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Mail Stop Amendment

Re: U.S. Utility Patent Application
Application No. 09/476,093; Filed: January 3, 2000
For: **Aliasing Communication System and Method with Multi-Mode and
Multi-Band Functionality and Embodiments Thereof, Such as the
Family Radio Service (as amended)**
Inventors: SORRELLS *et al.*
Our Ref: 1744.0260001

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Credit Card Payment Form (PTO-2038);
2. Fee Transmittal Form (PTO/SB/17);
3. Sixth Supplemental Information Disclosure Statement;
4. Three (3) sheets of Form PTO-1449 listing twenty-two (22) documents; and
5. One (1) return postcard.

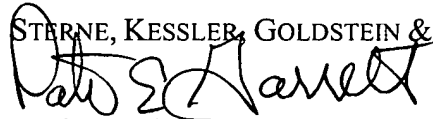
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
April 18, 2005
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

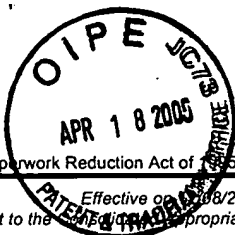
A handwritten signature in black ink, appearing to read "Patrick E. Garrett", is written over the printed name.

Patrick E. Garrett

Attorney for Applicants

Registration No. 39,987

PEG/mlb
Enclosures



Equivalent to Form
PTO/SB/17 (12-04)

Approved for use through 07/31/2006.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 08/08/2004.
Fees pursuant to the Patent and Trademark Fee Schedule, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **180.00**

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | 09/476,093 |
| Filing Date | January 3, 2000 |
| First Named Inventor | SORRELLS et al. |
| Examiner Name | Chow, Charles Chiang |
| Art Unit | 2685 |
| Attorney Docket No. | 1744.0260001 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: **19-0036** Deposit Account Name: **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | _____ |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | _____ |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | _____ |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | _____ |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | _____ |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent | 50 | 25 |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

Total Claims _____ **Extra Claims** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20

Indep. Claims _____ **Extra Claims** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ **Extra Sheets** _____ **Number of each additional 50 or fraction thereof** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____

_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: **Information Disclosure Statement**

Fees Paid (\$)

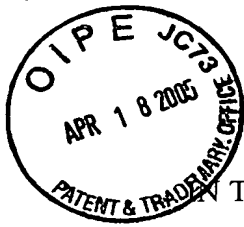
180.00

SUBMITTED BY

| | | | | | |
|-------------------|--------------------|-----------------------------------|---------|-----------|----------------|
| Signature | | Registration No. (Attorney/Agent) | 39,987 | Telephone | (202) 371-2600 |
| Name (Print/Type) | Patrick E. Garrett | Date | 4/18/05 | | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SORRELLS *et al.*

Appl. No.: 09/476,093

Filed: January 3, 2000

For: **Aliasing Communication System
and Method with Multi-Mode
and Multi-Band Functionality
and Embodiments Thereof, Such
as the Family Radio Service (as
amended)**

Confirmation No.: 7306

Art Unit: 2685

Examiner: Chow, Charles Chiang

Atty. Docket: 1744.0260001

Sixth Supplemental Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Sixth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Fifth Supplemental Information Disclosure Statement filed on November 16, 2004 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may

not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AF63, AC64, AI64, and AI65 are co-owned patents which are directed to related subject matter.

Documents AF63 and AA65-AH65 were cited in an Office Action in related U.S. Patent Application No. 09/855,851, filed May 16, 2001, entitled "Apparatus, System, and Method for Down-Converting and Up-Converting Electromagnetic Signals," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,465,415, 6,049,706, 6,061,551, 6,580,902, and 6,687,493, all of which have already been cited in the present application.

Documents AG63 and AH63 were cited in an Office Action in related U.S. Patent Application No. 10/289,377, filed November 7, 2002, entitled "Method and Apparatus for Reducing DC Offsets in a Communication System," directed to related subject matter.

Document AI63 was cited in a Notice of Allowance in related U.S. Patent Application No. 10/197,441, filed July 18, 2002, entitled "Method and System for Frequency Up-Conversion With a Variety of Transmitter Configurations," directed to related subject matter.

Document AA64 was cited in an Office Action in related U.S. Patent Application No. 10/086,250, filed March 4, 2002, entitled "Applications of Universal Frequency Translation," directed to related subject matter.

Document AB64 was cited in an Office Action in related U.S. Patent Application No. 09/590,955, filed June 9, 2000, entitled "Phase Shifting Applications of Universal Frequency Translation," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,982,315, which has already been cited in the present application.

Document AD64 was cited in an Office Action in related U.S. Patent Application No. 09/569,045, filed May 10, 2000, entitled "Method and Apparatuses Relating to a Universal Platform Module and Enabled by Universal Frequency Translation Technology," directed to related subject matter.

Documents AE64-AH64 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/770,675, filed January 29, 2001, entitled "Wireless and Wired Cable Modem Applications of Universal Frequency Translation Technology," directed to related subject matter.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☒ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable

inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- ☒ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §

1.56(c) more than three months prior to the filing of this
Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

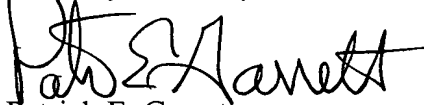
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- ☒ 7. In accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached Form PTO-1449 are submitted.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- ☐ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). _____ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Patrick E. Garrett", is written over the printed name.

Patrick E. Garrett
Attorney for Applicants
Registration No. 39,987

Date: 4/18/05

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600

372277_1.DOC



FORM PTO-1449

**SIXTH SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**ATTY. DOCKET NO.
1744.0260001APPLICATION NO.
09/476,093INVENTORS
SORRELLS *et al.*FILING DATE
January 3, 2000ART UNIT
2685**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|--------------|-----------------|---------|------------------------|-------|-----------|-------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF63 | 6,813,485 B2 | 11/2004 | Sorrells <i>et al.</i> | | | |
| | AG63 | 5,410,270 | 04/1995 | Rybicki <i>et al.</i> | | | |
| | AH63 | 6,509,777 B2 | 01/2003 | Razavi <i>et al.</i> | | | |
| | AI63 | 5,982,329 | 11/1999 | Pittman <i>et al.</i> | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|--------------|-----------------|------|---------|-------|-----------|-------------|
| | AJ | | | | | | Yes No |
| | AK | | | | | | Yes No |
| | AL | | | | | | Yes No |
| | AM | | | | | | Yes No |

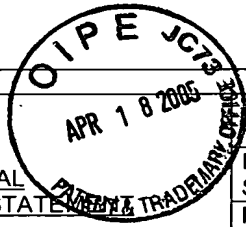
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| EXAMINER INITIAL | DOC. REF. | CITATION |
|---------------------|--------------|----------|
| | AN | |
| | AO | |
| | AP | |
| | AQ | |
| | AR | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

ATTY. DOCKET NO.
1744.0260001APPLICATION NO.
09/476,093SIXTH SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENTINVENTORS
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2685

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|--------------|-----------------|---------|-------------------------|-------|-----------|-------------|
| | AA64 | 6,208,636 B1 | 03/2001 | Tawil <i>et al.</i> | | | |
| | AB64 | 3,614,630 | 10/1971 | Rorden | | | |
| | AC64 | 6,836,650 B2 | 12/2004 | Sorrells <i>et al.</i> | | | |
| | AD64 | 5,844,868 | 12/1998 | Takahashi <i>et al.</i> | | | |
| | AE64 | 6,385,439 B1 | 05/2002 | Hellberg | | | |
| | AF64 | 6,741,139 B2 | 05/2004 | Pleasant <i>et al.</i> | | | |
| | AG64 | 6,823,178 B2 | 11/2004 | Pleasant <i>et al.</i> | | | |
| | AH64 | 6,850,742 B2 | 02/2005 | Fayyaz | | | |
| | AI64 | 6,853,690 B1 | 02/2005 | Sorrells <i>et al.</i> | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|--------------|-----------------|------|---------|-------|-----------|-------------|
| | AJ | | | | | | Yes No |
| | AK | | | | | | Yes No |
| | AL | | | | | | Yes No |
| | AM | | | | | | Yes No |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

| EXAMINER INITIAL | DOC. REF. | CITATION |
|---------------------|--------------|----------|
| | AN | |
| | AO | |
| | AP | |
| | AQ | |
| | AR | |

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2685**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|--------------|-----------------|---------|-------------------------|-------|-----------|-------------|
| | AA65 | 4,789,837 | 12/1988 | Ridgers | | | |
| | AB65 | 5,634,207 | 05/1997 | Yamaji <i>et al.</i> | | | |
| | AC65 | 5,642,071 | 06/1997 | Sevenhans <i>et al.</i> | | | |
| | AD65 | 6,211,718 B1 | 04/2001 | Souetinov | | | |
| | AE65 | 6,212,369 B1 | 04/2001 | Avasarala | | | |
| | AF65 | 6,308,058 B1 | 10/2001 | Souetinov <i>et al.</i> | | | |
| | AG65 | 6,633,194 B2 | 10/2003 | Arnborg <i>et al.</i> | | | |
| | AH65 | 2001/0036818 A1 | 11/2001 | Dobrovolny | | | |
| | AI65 | 6,873,836 B1 | 03/2005 | Sorrells <i>et al.</i> | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOC. REF. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|--------------|-----------------|------|---------|-------|-----------|-------------|
| | AJ | | | | | | Yes No |
| | AK | | | | | | Yes No |
| | AL | | | | | | Yes No |
| | AM | | | | | | Yes No |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AO | |
| | AP | |
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